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PATENT  
Attorney Docket No. NMT-010CP2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Kleshinski  
SERIAL NO.: 09/666,452 GROUP NO.: 3731  
FILING DATE: September 21, 2000 EXAMINER: Truong, Kevin Thao  
TITLE: Guidewire for a Free Standing Intervascular Device having an Integral Stop Mechanism

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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SEP 29 2003  
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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Paper copies of references A1-A44 and C1-C4 are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

### STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:


- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: September 24, 2003  
Reg. No. 44,244

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FORM PTO - 1449				ATTORNEY DOCKET NO.: NMT-010CP2 (9134/21)			
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): Kleshinski			
				SERIAL NO.: 09/666,452			
				FILING DATE: September 21, 2000			
U.S. PATENT DOCUMENTS							
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	2003/0045893 A1	03/06/03	Ginn	606	151	09/06/01
	A2	2003/0050665 A1	03/13/03	Ginn	606	215	09/07/01
	A3	4,425,908	01/17/84	Simon	128	1 R	10/22/81
	A4	4,817,600	04/04/89	Hermes et al.	128	303 R	05/22/87
	A5	4,921,484	05/01/90	Hillstead	604	104	07/25/88
	A6	5,041,129	08/20/91	Hayhurst et al.	606	232	07/02/90
	A7	5,108,418	04/28/92	Lefebvre	606	200	10/10/90
	A8	5,133,733	07/28/92	Rasmussen et al.	606	200	10/31/90
	A9	5,176,687	01/05/93	Hasson et al.	606	114	05/10/91
	A10	5,242,462	09/07/93	El-Nounou et al.	606	200	10/17/91
	A11	5,312,417	05/17/94	Wilk	606	114	07/29/92
	A12	5,370,647	12/06/94	Graber et al.	606	127	05/13/93
	A13	5,370,657	12/06/94	Irie	606	200	03/26/93
	A14	5,370,661	12/06/94	Branch	606	232	01/12/93
	A15	5,464,408	11/07/95	Duc	606	108	06/01/92
	A16	5,601,595	02/11/97	Smith	606	200	10/25/94
	A17	5,653,684	08/05/97	Laptewicz et al.	604	22	05/25/95
	A18	5,667,525	09/16/97	Ishibashi	606	206	07/20/95
	A19	5,669,933	09/23/97	Simon et al.	600	200	07/17/96
	A20	5,741,297	04/21/98	Simon	606	213	08/28/96
	A21	5,776,162	07/07/98	Kleshinski	606	198	01/03/97
	A22	5,800,457	09/01/98	Gelbfish	606	200	03/05/97
	A23	5,836,968	11/17/98	Simon et al.	606	200	07/16/97
	A24	5,853,420	12/29/98	Chevillon et al.	606	200	03/04/97
EXAMINER				DATE CONSIDERED			



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## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A25	5,976,172	11/02/99	Homsma et al.	606	200	07/02/97
	A26	5,997,556	12/07/99	Tanner	606	153	12/17/98
	A27	6,007,558	12/28/99	Ravenscroft et al.	606	200	09/25/98
	A28	6,056,760	05/02/00	Koike et al.	606	148	01/30/98
	A29	6,066,158	05/23/00	Engelson et al.	606	200	07/25/96
	A30	6,096,053	08/01/00	Bates	606	159	05/22/98
	A31	6,106,532	08/22/00	Koike et al.	606	138	04/06/99
	A32	6,156,055	12/05/00	Ravenscroft	606	206	03/23/99
	A33	6,168,579 B1	01/02/01	Tsugita	604	96.01	08/04/99
	A34	6,179,859 B1	01/30/01	Bates et al.	606	200	07/16/99
	A35	6,203,559 B1	03/20/01	Davis et al.	606	198	01/27/00
	A36	6,213,976 B1	04/10/01	Trerotola	604	104	07/22/99
	A37	6,216,696 B1	04/17/01	Van den Berg	128	207.14	12/05/97
	A38	6,221,006 B1	04/24/01	Dubrui et al.	600	159	02/09/99
	A39	6,245,012 B1	06/12/01	Kleshinski	600	200	09/22/99
	A40	6,258,026 B1	07/10/01	Ravenscroft et al.	600	200	07/26/99
	A41	6,371,971 B1	04/16/02	Tsugita et al.	606	200	04/28/00
	A42	6,440,152 B1	08/27/02	Gainor et al.	606	213	07/28/00
	A43	6,482,210 B1	11/19/02	Skiba et al.	606	86	11/12/99
	A44	6,537,198 B1	03/25/03	Vidlund et al.	600	16	03/21/00
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FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C1	"Microvena – Uniquely Innovative Interventional Products," Microvena press release from <a href="http://www.microvena.com/MVC-NEWS.html">http://www.microvena.com/MVC-NEWS.html</a> , printed on January 27, 2003							
	C2	James Hansen, "Metals that Remember," Science 81, June, pp. 44-47.							
	C3	Morris Simon and Aubrey M. Palestrant "Transvenous Devices for the Management of Pulmonary Embolism", Cardiovascular and Interventional Radiology by Springer-Verlag, 308-318, 1980.							
	C4	Morris Simon, M.D., Roy Kaplow, Ph.D., Edwin Salzman, M.D., and David Freiman, M.D., A Vena Cava Filter Using Thermal Shape Memory Alloy, Radiology, vol. 125, No. 1, October 1977, pp. 89-94.							
EXAMINER					DATE CONSIDERED				

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